

# Limited tolerance by insects to high temperatures across tropical elevational gradients and the implications of global warming for extinction

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The critical thermal maximum ( $CT_{max}$ ), the temperature at which motor control is lost in animals, has the potential to determine if species will tolerate global warming. For insects, tolerance to high temperatures decreases with latitude, suggesting that similar patterns may exist along elevational gradients as well. This study explored how  $CT_{max}$  varies among species and populations of a group of diverse tropical insect herbivores, the rolled-leaf beetles, across both broad and narrow elevational gradients. Data from 6,948 field observations and 8,700 museum specimens were used to map the elevational distributions of rolled-leaf beetles on two mountains in Costa Rica.  $CT_{max}$  was determined for 1,252 individual beetles representing all populations across the gradients. Initial morphological identifications suggested a total of 26 species with populations at different elevations displaying contrasting upper thermal limits. However, compared with morphological identifications, DNA barcodes (cytochrome oxidase I) revealed significant cryptic species diversity. DNA barcodes identified 42 species and haplotypes across 11 species complexes. These 42 species displayed much narrower elevational distributions and values of  $CT_{max}$  than the 26 morphologically defined species. In general, species found at middle elevations and on mountaintops are less tolerant to high temperatures than species restricted to lowland habitats. Species with broad elevational distributions display high  $CT_{max}$  throughout their ranges. We found no significant phylogenetic signal in  $CT_{max}$ , geography, or elevational range. The narrow variance in  $CT_{max}$  values for most rolled-leaf beetles, especially high-elevation species, suggests that the risk of extinction of insects may be substantial under some projected rates of global warming.

*Cephaloleia* | *Chelobasis* | CO1 |  $CT_{max}$  | thermal limits

Tolerance of organisms to changing temperatures is a trait of broad interest from ecological, evolutionary, and conservation perspectives (1). Thermal limits determine the geographic distributions of organisms, local adaptations, and organismal responses to global warming (2, 3). The thermal adaptation hypothesis proposes that because adaptation to a broad range of temperatures has a high physiological cost, the thermal limits of populations and species will be selected to match temperatures that characterize their geographic ranges (2, 4). As a result, tolerance to high temperatures is assumed to be a conservative trait with limited phenotypic plasticity and evolvability (5, 6) [but an example of rapid evolution of  $CT_{max}$  is provided by Logan et al. (7)]. This limitation in phenotypic and evolutionary responses poses a serious threat to organisms under projected global warming (2).

In the tropics, environmental temperatures remain relatively constant through the year. However, the rate at which temperature decreases with elevation is highest near the equator [ca. 6.5 °C for 1 km in elevation (8)]. As a consequence, tropical biota display sharp transitions as life zones change with elevation. In ectotherms, such as insect herbivores, low temporal but high spatial variation in temperatures along tropical mountains is expected to select for narrow thermal limits (2, 3, 9). A central prediction of the thermal adaptation hypothesis is that tropical insects living in the lowlands

will be more tolerant to high temperatures than species at higher elevations (10). One potential exception for this pattern is the ectotherms present in tropical alpine ecosystems, which will experience extreme high and low temperatures during the day and night (11). Species distributions are not necessarily restricted to one discrete life zone, and it is unclear how tolerance to high temperatures changes among insect populations for species present at multiple elevations.

To determine how tolerance of insect herbivores to high temperatures varies along elevational gradients, the following biogeographic, taxonomic, and physiological information should be considered. First, accurate estimates of altitudinal distributions of insect herbivore species are needed. Unfortunately, records of elevational distributions for most tropical insects are nonexistent or at best fragmentary (12). Second, because a species is the unit of analysis, the underpinning taxonomy must be stable, well supported, and with clear species boundaries. Unfortunately, only 0.3–1.2% of an estimated 8.7–30 million insect species on earth have been described so far (13). Molecular tools, such as DNA barcoding, are revealing even broader gaps in our taxonomic knowledge, because it is routine to discover that well-established species are actually complexes of multiple cryptic species (14).

The third challenge is to estimate the physiological tolerance to high temperatures of insect herbivores. A standard laboratory method is to measure the critical thermal maximum ( $CT_{max}$ ), the temperature at which insect herbivores lose motor control when exposed to high temperatures (15).  $CT_{max}$  estimates are highly dependent on experimental conditions, such as initial ambient

## Significance

**Tolerance to high temperatures will determine the survival of animal species under projected global warming. Surprisingly little research has been conducted to elucidate how this trait changes in organisms living at different elevations of similar latitudes, especially in the tropics. DNA barcodes demonstrate that insect species previously thought to have broad elevational distributions and phenotypically plastic thermal tolerances actually comprise cryptic species complexes. These cryptic species occupy discrete elevational ranges, and their thermal tolerances seem to be locally adapted to temperatures in their life zones. The combination of high species endemism and local adaptation to temperature regimes may increase the extinction risk of high-elevation insects in a warming world.**

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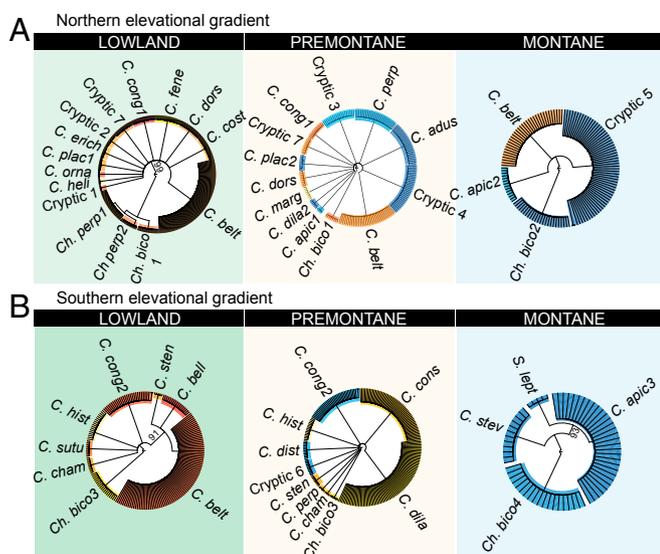


variance = 0.7). We detected phylogenetic overdispersion in  $CT_{max}$ . Closely related taxa tend to display different  $CT_{max}$  values ( $K = 0.11$ ,  $P_{PIC.variance} = 0.01$ ). These results suggest no effect of phylogenetic pseudoreplication in the results of this study (Fig. 1).

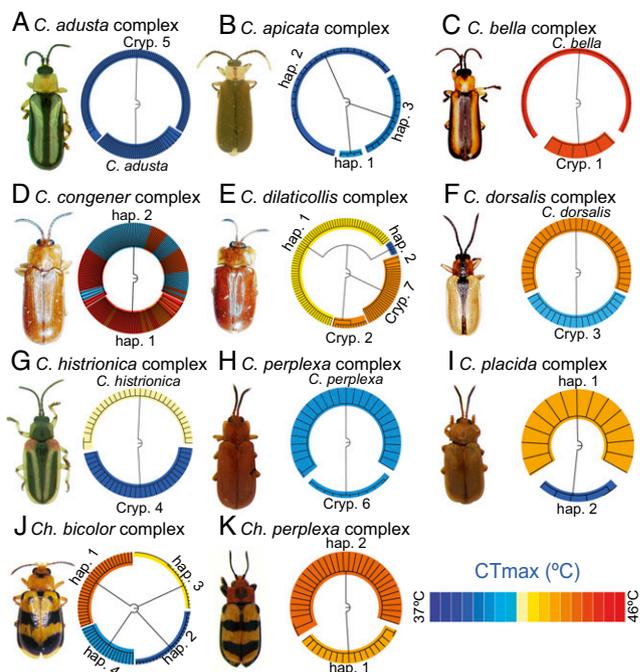
**Cryptic Species Diversity of Insect Herbivores Along Elevational Gradients.** In addition to identifying all obvious morphologically distinct rolled-leaf beetle taxa with 100% accuracy, the DNA barcode CO1 recognized several cryptic species (Fig. 2). We also detected a second DNA barcode gap for 16 populations representing six insect herbivore species. In these cases, differences between haplotypes were between 90% and 95% (Fig. 1). These cases may represent population differentiation or events of incipient speciation. To be conservative, we suggest that these clades represent different CO1 haplotypes within the same species. The methods used to delimit species and haplotypes are described in Fig. S3.

Based on 1,184 CO1 DNA sequences from 42 species and haplotypes, collected from 54 populations along two elevational gradients (Fig. 2), we discovered a total of 11 species complexes (Fig. 3). More than 50% of all taxa in this study are part of a cryptic species/haplotype complex (Fig. 3). When cryptic taxa within the same taxonomic complex were present at different life zones, high-elevation taxa displayed lower  $CT_{max}$  values than those taxa at lower elevations (Fig. 3).

**$CT_{max}$  of Insect Herbivores Are Higher Along the Warmer Elevational Gradient.** When comparing the  $CT_{max}$  of insect herbivores at equivalent life zones on the Barva and Talamanca transects, as predicted by the thermal tolerance hypothesis, the  $CT_{max}$  of insect herbivores was always higher for species on the warmer Talamanca gradient ( $F_{\text{elevational gradient}} = 89.3$ ,  $df = 1$ ,  $P > 0.001$ ;  $F_{\text{life zone}} = 712.1$ ,  $df = 2$ ,  $P > 0.001$ ; monthly temperature variation for both elevational gradients are shown in Fig. S1 and Table S1). On average, the  $CT_{max}$  of the lowland forest insects at Talamanca was 1.7 °C higher than on the Barva transect (mean  $\pm$  SD<sub>Barva</sub> = 42.7  $\pm$  1.1 °C, mean  $\pm$  SD<sub>Talamanca</sub> = 44.4  $\pm$  0.77 °C; Fig. 4). The  $CT_{max}$  of insect herbivores in premontane forests on the



**Fig. 2.** Rolled-leaf beetle species and haplotype distributions along two elevational gradients. Barva transect (north) (A) and Talamanca cordillera (south) (B). Species and haplotype identifications are based on neighbor-joining trees after alignment of DNA CO1 sequences, with all branch support values = 100 (unless otherwise noted). Beetle species are abbreviated using the first four letters of species names. Full names are provided in Fig. 1. Colors represent the  $CT_{max}$  of beetle populations in each life zone as in Fig. 3. Elevational distributions are shown in Fig. 6.



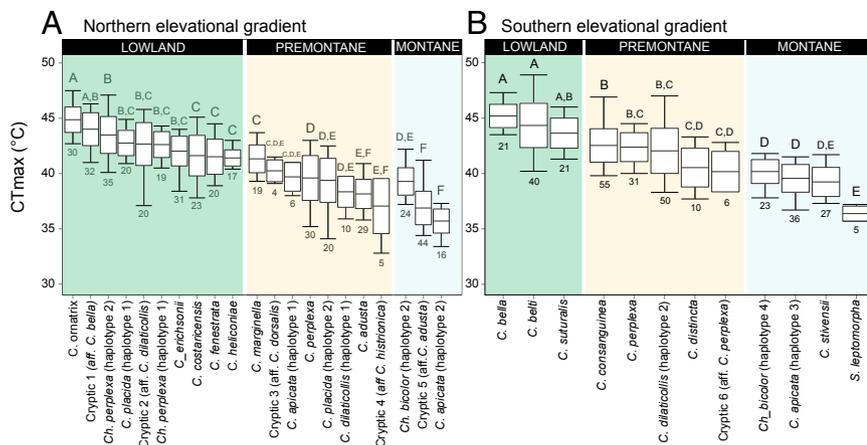
**Fig. 3.** Rolled-leaf beetle haplotypes and cryptic species complexes on the Barva (north) and Talamanca (south) elevational gradients (A–K). Neighbor-joining tree branches group different haplotypes and cryptic species, with all branch support values = 100. Colors represent the  $CT_{max}$  of each taxon. Elevational distributions are shown in Fig. 6.

Talamanca transect was 2.3 °C higher than the  $CT_{max}$  of insects at the same life zone on the Barva transect (mean  $\pm$  SD<sub>Barva</sub> = 39.2  $\pm$  1.3 °C, mean  $\pm$  SD<sub>Talamanca</sub> = 41.5  $\pm$  1.09 °C; Fig. 4). Insect herbivores inhabiting the Talamanca montane forest displayed an average  $CT_{max}$  1.5 °C higher than the  $CT_{max}$  of insect herbivores in the same life zone on the Barva transect (mean  $\pm$  SD<sub>Barva</sub> = 37.3  $\pm$  1.8 °C, mean  $\pm$  SD<sub>Talamanca</sub> = 38.8  $\pm$  1.7 °C; Fig. 4).

**$CT_{max}$  of Insect Herbivores with Narrow Elevational Distributions Decreases with Increasing Elevation.** As predicted by the thermal tolerance hypothesis, the  $CT_{max}$  of insect herbivore taxa present at only one life zone decreases with increasing elevation (Fig. 4). On both elevational gradients, herbivores in the lowland forests had the highest  $CT_{max}$  (Fig. 4). Species in premontane forests had lower  $CT_{max}$  values than lowland taxa (Fig. 4), whereas the lowest  $CT_{max}$  values were recorded in species present only at the highest elevations of each gradient, in montane forests ( $F_{20,2} = 64.6$ , 513.1,  $P_{\text{life zone}} < 0.0001$ ,  $P_{\text{species}} < 0.0001$ ; Fig. 4).

**$CT_{max}$  of Insect Herbivores Represented by One Haplotype at Multiple Life Zones Remains Constant with Increasing Elevation.** In general, when beetle species represented by a single haplotype were present in multiple life zones along a gradient,  $CT_{max}$  remained constant among all populations along the species' elevational range (Fig. 5 A, B, and E–H and Fig. 6). This pattern is a potential consequence of higher gene flow across populations.

We found only one exception to this pattern. Although *Cephaloleia congener* is represented by one broadly distributed haplotype, high-elevation populations of *C. congener* on the Barva and Talamanca elevational gradients displayed  $CT_{max}$  values 1.3 °C and 3.9 °C lower than populations in the lowlands (Fig. 5 C and D). It is possible that this species has a unique genetic difference of thermal limits. However, we suspect that this pattern may represent a particular case where DNA barcodes were not able to differentiate haplotypes, because high-elevation populations of



**Fig. 4.**  $CT_{max}$  of rolled leaf beetle taxa restricted to one life zone (mean  $\pm$  SD, minimum–maximum). Barva (north) (A) and Talamanca (south) (B) elevational gradients. Numbers below boxes represent sample sizes. Letters on the bars group similar categories.

*C. congener* display some unique morphological features compared with lowland populations.

**$CT_{max}$  of Insect Herbivores Represented by Multiple Haplotypes Along Elevational Gradients Decreases with Increasing Elevation.** For cases in which beetle species were represented by multiple CO1 haplotypes at different elevations, high-elevation haplotypes displayed  $CT_{max}$  values lower than in lowland populations (Fig. 5 I–L). High-elevation beetle haplotypes are characterized by low thermal limits; this pattern supports the hypothesis that populations along elevational gradients are locally adapted genotypes.

## Discussion

Our results support the hypothesis that in wild populations of ectotherms, tolerance to high temperatures is a trait with limited phenotypic plasticity. As predicted by the thermal limitation hypothesis, these results show that the  $CT_{max}$  of insect species is higher along warmer elevational gradients and decreases with increasing elevation (10). Limited phenotypic plasticity and evolvability in  $CT_{max}$  have also been reported for model organisms, such as *Drosophila*, the tsetse fly *Glossina pallidipes*, and some dung beetle species (16, 20, 21).

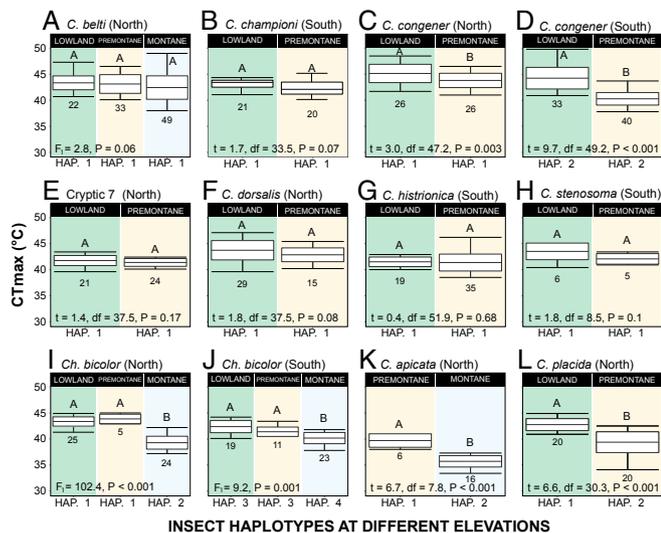
These results are in contrast to the conclusions of systematic reviews of global empirical data (i.e., studies that compile  $CT_{max}$  estimates for studies that used different methods and multiple taxa) (2, 4). Compilation analyses often report a slight decline or even no relationship between arthropod elevational distributions and  $CT_{max}$  (2, 20). Some of the mechanisms invoked to explain this discrepancy with the thermal limitation hypothesis include competitive exclusion, predation, behavioral thermoregulation, and the absence of other interacting species (4, 20, 22).

Biotic factors and behaviors may generate a mismatch between ambient temperatures and the thermal limits of organisms. However, our results suggest that this discrepancy may also be an artifact of combining data from studies that used non-standardized methods, a fragmentary knowledge of insect elevational distributions, and limited taxonomic resolution (23). These three factors greatly reduce the reliability of synthetic studies to find patterns at fine geographic and taxonomic scales. For example, if this study had determined the  $CT_{max}$  of only high-elevation rolled-leaf beetle populations, ignoring cases that represent high-elevation populations of broadly distributed species (e.g., high-elevation populations of *Cephaloleia belti*, *Cephaloleia championi*, *Cephaloleia dorsalis*, *Cephaloleia stenosoma*, *Cryptic* sp. 7, *Chelobasis bicolor* hap1; Fig. 6), we would mistakenly conclude that high-elevation species often display  $CT_{max}$  values similar to species in the lowlands.

This study also illustrates the importance of accurate species delimitations to understand the thermal limits of insects (23). Traditional morphological taxonomic approaches would assume that each cryptic species complex included in this study is a single broadly distributed species (17) (Fig. 3). Without the insight provided by DNA barcodes, we would wrongly conclude that  $CT_{max}$  is a trait that varies with elevation. Our results show an opposite scenario, where  $CT_{max}$  is a trait that is apparently fixed and characteristic to each species and haplotype (Fig. 3 E–K).

It is expected that after a temperature increase of 3–6 °C, which is predicted for the next century, isotherms along the Barva and Talamanca gradients will shift *ca.* 600 m upslope (24). Therefore, insect populations present at the highest elevations also face the highest risks of extinction as their thermal habitat disappears and they are “pushed” off the tops of these mountains (24). Our DNA barcode data revealed an even more critical scenario in which most of the high-elevation insect populations are actually endemic cryptic species complexes with very narrow elevational distributions.

As the climate changes, the persistence of insect herbivore populations will initially depend on their dispersal abilities and, in the long term, on the heritability of traits associated with adaptations to novel temperatures (25). More and more evidence suggests that  $CT_{max}$  in insects is an evolutionarily constrained trait with



**Fig. 5.**  $CT_{max}$  of rolled beetle species represented by one or more haplotypes present at multiple life zones (A–L; mean  $\pm$  SD, minimum–maximum). Numbers below boxes represent sample sizes.



equivalent after 24–72 h of acclimation; however, long acclimation times have a negative effect on the physiological condition of rolled-leaf beetles, inducing high mortality in some species (Table S2).

To measure  $CT_{max}$ , we used a water bath with a volume of 1,000 cm<sup>3</sup> controlled by a thermostat (–50 to 110 °C, liquid crystal display Digital Temperature Measuring Controller WH7016M I, Lerway Technology). Beetles were placed in individual Falcon 15-mL Conical Centrifuge Tubes. Tubes were placed horizontally, floating on the surface of the water bath. Temperature sensors were placed inside the tubes. Therefore, temperatures reported in this study are those temperatures inside the 15-mL centrifuge tubes, not the basal temperatures of beetles used in the experiments. To simulate the high-humidity conditions inside rolled leaves, and to ensure that insect responses were elicited by changes in temperature and not desiccation, we placed a 1 × 3-cm wet filter paper inside each tube. Tubes with beetles were placed inside the water bath at an initial temperature of 23 °C.

Temperature increased at a rate of 1.5 °C·min<sup>–1</sup>, with an accuracy of ±0.1 °C. As the temperature increased, tubes were rotated so that beetles were constantly walking along the bottom of the tubes, close to the water. As the temperature increased, beetles became more active until, reaching a temperature at which they lost muscular control, they flipped over onto their dorsa and ceased walking (knockdown temperature). We used these knockdown temperatures as an approximation of the  $CT_{max}$  for rolled-leaf beetle populations and species.

Knockdown temperatures depend on the temperature increase rate selected for the experiment (16). In this study, we selected an increase rate of 1.5 °C·min<sup>–1</sup>. This rate is at least one order of magnitude faster than any temperature increase experienced by insects under natural conditions. We want to emphasize that the  $CT_{max}$  estimates reported in this study represent the relative resistance to high temperatures of rolled-leaf beetle populations and species, not the actual ambient temperatures at which populations will become locally extinct.

**Identification of Cryptic Species and Haplotypes.** Cryptic species and haplotypes of rolled-leaf beetles were identified using the DNA barcode CO1. Over the past decade, numerous studies have demonstrated that this molecular marker can delimit species with extreme accuracy in many taxa (14). This DNA barcode is particularly effective in the identification of rolled-leaf beetle species. In previous studies, we were able to identify all morphologically distinct

species of rolled-leaf beetles with 100% accuracy (14, 34). After measuring  $CT_{max}$ , we collected beetles in 95% (vol/vol) ET-OH. One leg was subsequently removed for DNA extractions following the protocols described by García-Robledo et al. (34). Sequences were aligned using MAFFT (35). DNA sequences were deposited in the GenBank (accession nos. KU357054–KU358485). A description of the methods used to delimit cryptic species and haplotypes is included in Fig. S3.

**Estimation of Differences in  $CT_{max}$  Between Taxa at Different Life Zones.** Differences in  $CT_{max}$  among beetle species present only at one life zone were estimated using fully crossed ANOVA. The model included elevational gradients, life zones, sex, and beetle taxa as factors. The response variable was the  $CT_{max}$  of each individual. There was no effect of sex (male vs. female) on  $CT_{max}$  estimates for any of the species ( $F_1 = 0.49$ ,  $P = 0.48$ ). To determine if beetle species at different elevations display differences in size, potentially affecting the results reported in this study, we measured lengths for a subset of individuals of the species included in this study. We performed a regression between elevation (meters) and the average length per species of individuals found at a given elevation. We did not detect a relationship between elevation and beetle size ( $n = 779$ ,  $F_1 = 0.12$ ,  $P = 0.7$ ). Therefore, the results reported in this study cannot be attributed to differences in size among species at different elevations.

For beetle species with broad elevational distributions and populations at two or more life zones, we tested for differences in  $CT_{max}$  among populations using ANOVA analyses or Aspin–Welch tests (36). Models included life zone as a main factor, and the response was the  $CT_{max}$  of each individual in each population. Differences among species and populations were determined with a posteriori tests (Tukey honest significance difference). All analyses were performed using Program R (19).

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